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PTO/SB/31 (02/01)

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NOTICE OF APPEAL FROM THE EXAMINER TO THE
BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number

B208 1010.1

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APR 23 2004

In re Application of
McCABE, et al.

Application Number

09/559,320

Filed

4/27/2000

For DERIVATIVE SECURITIES TRADING PRODUCT UTILIZING
SUBSETS OF INDICES OR PORTFOLIOS

Group Art Unit 3624

Examiner Daniel S. Felton

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b)) \$ 330.00.

 Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half and the resulting fee is: \$ _____. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0528. I have enclosed a duplicate copy of this sheet. A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

I am the

 applicant /inventor

Signature

 assignee of record of the entire interest.See 37 CFR 3.71. Statement under 37 CFR 3.73(b)
is enclosed. (Form PTO/SB/96) attorney or agent of record.

Nanda K. Alapati

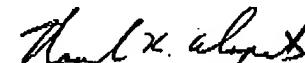
 attorney or agent acting under 37 CFR 1.34(a).

Typed or printed name

Registration number if acting under 37 CFR 1.34(a). 39,893

April 23, 2004

Date



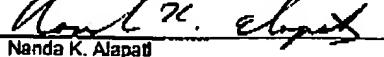
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 *Total of 1 forms are submitted.

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being sent to the central facsimile number, 703-872-9306, for patent application related correspondence on April 23, 2004.

1 Pages



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